

FORM PTO-1390

DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

50023-146

U.S. APPLIC. NO. (if known, see 37 CFR 1.5)

09/914216

INTERNATIONAL APPLICATION NO.  
PCT/JP00/01097

O I P E  
APR 13 2001  
PATENT & TRADEMARK OFFICE

INTERNATIONAL FILING DATE

FEBRUARY 25, 2000

PRIORITY DATE CLAIMED

FEBRUARY 26, 1999

FEBRUARY 26, 1999

## TITLE OF INVENTION

DATA MONITORING METHOD, DATA MONITORING DEVICE, COPYING DEVICE, AND STORAGE MEDIUM

## APPLICANT(S) FOR DO/EO/US

AKIO KOJIMA, YASUHIRO KUWAHARA, AND TATSUMI WATANABE

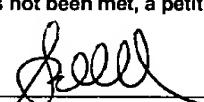
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US)
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendment has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern other document(s) or information included:

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.  
 A **SECOND** or **SUBSEQUENT** preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information.  
**International Preliminary Examination Report**  
**First Page of the Published International Application**  
**International Search Report prepared by the Japanese Patent Office**  
**Notification of Receipt of Record Copy**



U.S. APPHC NO. (if known, see 37 CFR 1.50) <b>09/914216</b>	INTERNATIONAL APPLICATION NO. PCT/JP00/01097	ATTORNEY'S DOCKET NUMBER 50023-146												
		CALCULATIONS PTO USE ONLY												
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <table> <tr> <td><b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b></td> <td></td> </tr> <tr> <td>Search Report has been prepared by the EPO or JPO</td> <td>\$860.00</td> </tr> <tr> <td>International preliminary examination fee paid to USPTO (37 CFR 1.482)</td> <td>\$690.00</td> </tr> <tr> <td>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))</td> <td>\$710.00</td> </tr> <tr> <td>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO</td> <td>\$1,000.00</td> </tr> <tr> <td>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)</td> <td>\$100.00</td> </tr> </table> <p style="text-align: center;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></p>		<b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b>		Search Report has been prepared by the EPO or JPO	\$860.00	International preliminary examination fee paid to USPTO (37 CFR 1.482)	\$690.00	No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))	\$710.00	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$1,000.00	International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)	\$100.00	
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International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)	\$100.00													
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 00.00												
Claims	Number Filed	Number Extra	Rate											
Total Claims	37 -20 =	17	x \$18.00	\$ 306.00										
Independent Claims	11 -3 =	8	x \$80.00	\$ 640.00										
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$ 00.00										
		<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$ 1,806.00										
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$ 00.00										
		<b>SUBTOTAL =</b>		\$ 1,806.00										
Processing fee of \$130.00 for furnishing the English translation later than the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		+		\$ 00.00										
		<b>TOTAL NATIONAL FEE =</b>		\$ 1,806.00										
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+		\$ 40.00										
		<b>TOTAL FEES ENCLOSED =</b>		\$ 1,846.00										
		Amount to be refunded		\$										
		charged		\$										
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.														
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of \$ <u>1,846.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.														
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed.														
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>														
SEND ALL CORRESPONDENCE TO:  STEPHEN A. BECKER McDERMOTT, WILL & EMERY 600 13 <sup>th</sup> Street, N.W. Washington, DC 20005-3096 (202) 756-8000 Facsimile (202) 756-8087														
 SIGNATURE STEPHEN A. BECKER NAME NO. 26, 527 REGISTRATION NUMBER AUGUST 23, 2001 DATE														